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TRANSMITTAL FORM

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|--|----|------------------------|------------------|
| | | Application Number | 10/750,636 |
| | | Filing Date | 12/19/2003 |
| | | First Named Inventor | Ray Herbert |
| | | Art Unit | 2877 |
| | | Examiner Name | Not yet assigned |
| Total Number of Pages in This Submission | 12 | Attorney Docket Number | NAK-130B/US |

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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| Firm or Individual name | Robert Lodenkamper Reg. No. 55,399 |
| Signature | |
| Date | 5/16/04 |

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE US PATENT AND TRADEMARK OFFICE

In re Application of:

Ray Herbert et al.

Art Unit: 2877

Serial No: 10/750,636

Examiner: Not Assigned

Filed: 12/19/03

For: Apparatus and Method for Optical Characterization of a Sample Over a Broadband of
Wavelengths While Minimizing Polarization Changes

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PRELIMINARY AMENDMENT UNDER 37 CFR 1.115

Commissioner for Patents
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Sir:

Please enter the following preliminary amendment in the application referenced above.

Respectfully submitted,

Robert Lodenkamper

Reg. No. 55,399



Lumen Intellectual Property Services

2345 Yale Street, 2nd Floor

Palo Alto, CA 94306

(650) 424-0100